## IAP15 Rec'd PCT/PTO-0 7 JUL 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES			ATTORNEY'S DOCKET NUMBER 0272us310		
DESIGNATED/ELECTED OFFICE (DO/E CONCERNING A SUBMISSION UNDER 35		` ,	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/549,506		
	TONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED		
TITLE OF	PCT/DK2004/00193	22 March 2004	20 March 2003		
	FVII OR FVIIA VA	RIANTS			
		Kim Vilbour, et al.			
Applicant	herewith submits to the United Sta	ates Designated/Elected Office (DO/EO	/US) the following items and other information:		
1 7	This is a FIRST submission of items co	nceming a submission under 35 U.S.C. 371	•		
2. X T	his is a SECOND or SUBSEQUENT'S	ubmission of items concerning a submission	under 35 U.S.C. 371.		
	his is an express request to begin nati (5), (6), (9) and (21) indicated below.	onal examination procedures (35 U.S.C. 37	1(f)). The submission must include items		
4. 🔲 1	The US has been elected (Article 31).		·		
5.	A copy of the International Application	as filed (35 U.S.C. 371(c)(2))			
	a. is attached hereto (required	only if not communicated by the Internation	al Bureau).		
	b. In has been communicated by the International Bureau.				
_	c. is not required, as the applic	cation was filed in the United States Receivi	ng Office (RO/US).		
6.	An English language translation of the	e International Application as filed (35 U.S.C	5. 371(c)(2)).		
	a. $\bigsqcup$ is attached hereto.				
·	b. has been previously submitted under 35 U.S.C. 154(d)(4).				
7.	Amendments to the claims of the Inte	mational Application under PCT Article 19 (	35 U.S.C. 371(c)(3))		
	a. are attached hereto (require	red only if not communicated by the Internat	ional Bureau).		
	b. have been communicated	by the International Bureau.			
	c. have not been made; how	ever, the time limit for making such amendm	nents has NOT expired.		
_	d. have not been made and v	will not be made.			
8.	An English language translation of th	e amendments to the claims under PCT Art	icle 19 (35 U.S.C. 371(c)(3)).		
9. <b>X</b>	An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).			
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
Items	tems 11 to 20 below concern document(s) or information included:				
11.	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.				
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.				
13.	A preliminary amendment.				
14. 🗓	An Application Data Sheet under 37 CFR 1.76. Supplemental Application Data Sheet				
15. 📙	A substitute specification.				
16. X	A power of attorney and/or change of address letter.				
17. 🗶	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.				
18.	A second copy of the published Interr	national Application under 35 U.S.C. 154(d)(	4).		
19. 🔲	A second copy of the English language	ge translation of the international application	under 35 U.S.C. 154(d)(4).		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

PTC-1390 (Rev. 07-2005)
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U.S. APPLICAT	ION NO. (If known	, see 3/ CFR 1.5)	INTERNATIONALA	PPLICATION NO.	ATTORNEY'S DO	OCKET NUMBER
10/549,506 PCT/DK2004/000193		0272us310				
20. Other	items or informati	<ul><li>Copy o</li><li>Petitior</li></ul>	nse to Notice of Missing f Notice of Missing Req n for One-Month Extens receipt postcard	uirements; ion of Time (plus 1	copy); Mail Label No. EV 3	332 432 411 US
The follo	owing fees have b	een submitted			CALCULATIONS	PTO USE ONLY
	_			\$300	\$	FIGUSEONET
22. Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations				\$	·	
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$		
Additional fe	TOTAL OF 21, 2:	2 and 23 =	d in paper over 100 sheets (	evoludina		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each	additional 50 or fraction up to a whole number)	RATE		
50 <sub>- 100</sub> =	0 /50 =		0	x \$250	\$	<u> </u>
			th fee, examination fee, or the (37 CFR 1.492(h)).	e oath or declaration	\$ 130.00	
CLAIMS	NUME	SER FILED	NUMBER EXTRA	RATE	\$	
Total claims		- 20 =		x \$50	\$ -	
independent clair	ns	- 3 =		x \$200	\$	
MULTIPLE DEPE	ENDENT CLAIM(S	S) (if applicable)		+ \$360	\$	
			TOTAL OF ABOV	CALCULATIONS =	\$ 130.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						
SUBTOTAL =					\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$		
TOTAL NATIONAL FEE =				\$ 130.00		
			1.21(h)). The assignment m \$40.00 per property	ust be accompanied +	\$	
3/2006 LLANDGRA 00000034 500990 10549506 TOTAL FEES ENCLOSED =			\$ 130.00			
	30.00 DA				Amount to be refunded:	\$
					Amount to be	\$

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а. 🔲	A check in the amount of \$ to co	ver the above fees is enclosed.		
b. X	Please charge my Deposit Account No in the A duplicate copy of this sheet is enclosed.	amount of \$130.00 to cover the above fe	ees.	
c. X	The Commissioner is hereby authorized to charge any additi- Account No. <u>50-0990</u> . A duplicate copy of this sheet is		erpayment to Deposit	
d. 🔲	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be Included on this form. Provide credit card information and authorization on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.				
SEND A	ALL CORRESPONDENCE TO:	Jannel	etilling	
Maxygen, Inc. Intellectual Property Dept. 515 Galveston Drive Redwood City, CA 94063		SIGNATURE  Joanne R. Pet	ithory	
		NAME 42,995		
( Cust	tomer No. 30560 )	REGISTRATION NUMBER		

I hereby certify that this is being deposited with the US Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above, addressed to: Mail Stop: PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By: Joanne R. Petithory

Attorney Docket No. 0272us310

### IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

In re application of:

ANDERSEN, Kim Vilbour, et al.

Application No.: 10/549,506

(371 of PCT/DK2004/00193)

I.A. Filed: March 22, 2004

For: FVII OR FVIIA VARIANTS

Examiner:

Art Unit:

RESPONSE TO

NOTIFICATION OF MISSING

**REQUIREMENTS UNDER 35 U.S.C 371** 

Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 Mail-Stop PCT

Sir:

In response to the "Notification of Missing Requirements under 35 U.S.C. 371" mailed from the Patent Office on March 10, 2005, enclosed are the following to be made of record in the above-identified application:

- 1. Transmittal Letter to the United States Designated/Elected Office DO/EO/US (3 pages, plus one copy of page 3);
- 2. This Response (2 pages);
- 3. Petition for One-Month Extension of Time (1 page, plus one copy), extending the period of response through July 28, 2006;
- 4. Copy of the Notification of Missing Requirements (2 pages);
- 5. Executed Declaration (2 pages);
- 6. Supplemental Application Data Entry Sheet (4 pages);

ANDERSEN, Kim Vilbour, et al. USSN 10/549,506 (a §371 of PCT/DK2004/00193) Response to Missing Requirements Page 2 of 2 Attorney Docket No. 0272us310

- 7. Sequence Listing CRF Diskette;
- 8. Statement Verifying Identity of Paper and CRF copies under 37 CFR §1.821(f) (1 page);
- 9. Power of Attorney or Authorization of Agent, Not Accompanying Application, and Statement Under 37 CFR §3.73(b), together with a copy of Assignment not intended for recordation, to Maxygen Holdings Ltd. (4 pages total) (Note: the Assignment is being sent for recordation under separate cover); and
- 10. Return Acknowledgement Postcard.

Please charge a total of \$ 250.00 (\$120.00 for One-Month Extension of time, plus \$130.00 Late Oath/Declaration surcharge) to Deposit Account No. 50-0990.

Please charge any additional fees, or credit any overpayment, to Deposit Account No. 50-0990.

July <u>7</u> 2006

Maxygen, Inc.
Intellectual Property Department
515 Galveston Drive
Redwood City, CA 94063
Customer Number 30560

Phone: (650) 298-5452 (direct)

(650) 298-5300 (main)

Fax: (650) 298-5446

Respectfully submitted,

Joanne R. Petithory, Ph.D.

Reg. No. 42,995



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

		www.uspto.gov	,,,
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATT	Y. DOCKET NO.
10/549,506	Kim Vilbour Andersen	0272us310	
· .		INTERNATIONAL APPLICATION NO.	
20522		PCT/DK04/00193	
30560 MAXYGEN, INC.		I.A. FILING DATE	PRIORITY DATE
INTELLECTUAL PROPERTY DEPARTMENT 515 GALVESTON DRIVE	2. * .	03/22/2004	03/20/2003
RED WOOD CITY, CA 94063	MAY 2006	CONFIRMATION NO. 769 371 FORMALITIES LETTER *OC00000018641891*	
	GEOFILE O		
Date Mailed: 04/28/2006			

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/16/2005
- Copy of the International Search Report filed on 09/16/2005
- Preliminary Amendments filed on 09/16/2005
- Biochemical Sequence Listing filed on 09/16/2005
- U.S. Basic National Fees filed on 09/16/2005
- Priority Documents filed on 09/16/2005
- Specification filed on 09/16/2005
- Claims filed on 09/16/2005
- Abstracts filed on 09/16/2005
- Drawings filed on 09/16/2005
- Paper nucleotide sequence listings filed on 09/16/2005

DOCKETED on/by 18/06/ can

Atty. PA PA

File # 0 a 7 a 310

Due Date 4/28/06 Ext

Final Date 1/28/06 Missing

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
  missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/549,506	PCT/DK04/00193	0272us310	

FORM PCT/DO/EO/905 (371 Formalities Notice)